# SUSTAINED RELEASE COMPOSITIONS AND CONTROLLED DELIVERY METHOD

#### FIELD OF THE INVENTION

The present invention relates to the controlled and sustained release of an active agent
from a composition over an extended time. More
particularly, the present invention relates to a
composition that exhibits a sustained and controlled
release of an active agent, wherein the composition
comprises cellulose fibers, an active agent incorporated therein, and an optional release retardant
coating over the active agent and cellulose fibers.

#### BACKGROUND OF THE INVENTION

The use of porous, absorbent, and/or

intersticed materials as means for retaining active
agents is known. The active agents range widely in
properties for use in a wide range of applications,
including therapeutic, cosmetic, food, pharmaceutical, and hygienic applications. Examples of
products embodying such materials include personal
care products, cosmetics, toiletries, fragrances,
pesticides, pharmaceutical products, household
products, and industrial products.

An important feature of these products is 25 an ability to extend their utility over a long period of time by retaining a sizeable quantity of the active agent, while slowly releasing the active agent over time to perform its intended function. Control of the release rate for the active agent is achieved in a variety of ways, including diffusion from a porous or absorbent material, forcing the active agents to the product surface by compression, and rupture of internal bubbles or cells in a matrix material.

The controlled release of an active agent, such as a drug or cosmetic compound, improves the safety, efficacy, and reliability of a treatment regimen that utilizes the active agent. Conventional dosage forms for delivering an active agent often provide a wide variation in the amount of active agent that is available during treatment. quently, the treatment regimen requires multiple doses such that the concentration of active agent is maintained at its minimum effective level. ticular, conventional dosage forms quickly release the active agent, which causes a sharp increase in active agent concentration to a peak, followed by a sharp decline in active agent concentration. wide swing in active agent concentration often provides initial acceptable results, but inadequate treatment as active agent concentrations decrease over time.

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This problem can be overcome by administering an effective dose of an active agent in a
conventional delivery system at more frequent intervals. However, individuals find such treatment
regimens inconvenient, which leads to eliminating or
delaying treatment doses, thereby adversely affecting the efficacy of the treatment.

In contrast, controlled release of an active agent regulates the release rate of the active agent and reduces the frequency of treatment doses, thereby improving compliance with the treatment regimen. Ideally, a controlled release of an active agent provides a predictable amount of the active agent for effective treatment, and controls the rate of active agent release over a predetermined time. The controlled release of an active agent can occur at a constant rate, at a constant declining rate, or at some other specified rate or pattern to achieve an efficacious release of the active agent.

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The controlled release of an active agent has several advantages including fewer compliance problems during the treatment regimen, utilizing less of the active agent during treatment, improving efficacy of the treatment, and an overall cost savings. Although such benefits are recognized in the art, it has been difficult to provide compositions that achieve a sustained and controlled release of an active agent.

It has been especially difficult to achieve a controlled release of a water-soluble active agent when the water-soluble agent is a component of an aqueous formulation, or when the water-soluble agent, in its controlled release form, is subjected to an aqueous medium. In these situations, the water-soluble agent has a tendency to be released too quickly.

Conversely, it is difficult to achieve a controlled release of an oil-soluble active agent

when the oil-soluble active agent is a component of an oil-based formulation or when the oil-soluble active agent, in its controlled release form, is subjected to a nonaqueous medium. In this situation, the oil-soluble agent has a tendency to be released too quickly.

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For example, a water-soluble or an oilsoluble active agent can be converted into a controlled release form by adsorbing the active agent onto an adsorbent polymer. The resulting controlled release form of the active compound can be formulated into a solid composition, e.g., a tablet or powder, a semisolid composition, e.g., a cream or gel, or a liquid composition, e.g., an emulsion or dispersion. Prior compositions have demonstrated a premature release of a water-soluble active agent when the controlled release form of the active agent is incorporated into an aqueous medium, like an emulsion, or when a semisolid or solid composition contacts an aqueous medium. Similarly, there is a premature release of an oil-soluble active agent when the controlled release form of the active agent is incorporated into a nonaqueous medium, like a body oil, or when the composition contacts a nonaqueous medium.

In addition, many active agents have inherent stability problems, such as a tendency to oxidize over time, a tendency to degrade in the presence of moisture and/or light, or a sensitivity to shock. It would be an improvement in the art if such inherently unstable active ingredients can be

formulated into a composition that overcomes these stability problems, and provides a sustained and controlled release of the active agent over an extended time.

The present invention addresses the problem of a sustained and controlled release of an active agent from a composition.

#### SUMMARY OF THE INVENTION

The present invention is directed to com-10 positions that provide a sustained and controlled release of an active agent, including water-soluble and oil-soluble active agents. The controlled release compositions can be used as is, or incorporated into formulations, including liquids, gels, 15 semisolids, and solids. More particularly, the present invention is directed to a controlled release composition comprising cellulose fibers and an active agent. The active agent is incorporated into the cellulose fibers. In certain embodiments, the 20 cellulose fibers treated with the active agent are coated with a release retardant to further assist a sustained and controlled release of the active agent.

Therefore, one aspect of the present invention is to provide a controlled release composition comprising cellulose fibers, an active agent that is incorporated into the cellulose fibers, and, an optional release retardant coated on the active agent-treated cellulose fibers. The controlled
release compositions impart stability to inherently

unstable active agents and an improved controlled release of the active agent.

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Another aspect of the present invention is to provide a controlled release composition comprising (a) cellulose fibers, having incorporated therein, (b) a water-soluble or an oil-soluble active agent in an amount up to an equal weight of the cellulose fibers, and (c) an optional release retardant that coats and/or is adsorbed onto the cellulose fibers and active agent. The active agent can be a solid or a liquid compound at room temperature.

Yet another aspect of the present invention is to provide a controlled release composition comprising a water-soluble active agent, and incorporating the controlled release composition into an aqueous formulation that exhibits a controlled release and delivery of the water-soluble agent.

Another aspect of the present invention is to provide a controlled release composition comprising an oil-soluble active agent, and incorporating the controlled release composition into a nonaqueous formulation, like an oil, that exhibits controlled release and delivery of the oil-soluble agent.

Still another aspect of the present invention is to provide a controlled release composition
containing an active ingredient selected from the
group consisting of a skin care compound, a hair
care compound, a topical drug, an antioxidant, a
dye, a self-tanning compound, a skin-lightening
compound, an optical brightener, a deodorant, a

fragrance, a sunscreen, a pesticide, a drug, and similar compounds, and mixtures thereof.

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These and other aspects and novel features of the present invention will become apparent from the following detailed description of the preferred embodiments.

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present sustained release compositions function as controlled delivery systems for the active agent incorporated into the cellulose fibers. In particular, release of the active agents from the cellulose fibers occurs in a sustained manner, providing a continuous supply of the active agent to the tissues, medium, or area that the sustained release composition contacts.

The present invention provides advantages over prior sustained release compositions. example, one advantage of a present sustained release composition is the ability of the composition to release a low, efficacious amount of the active agent over a broad area in substantially uniform manner, thereby avoiding waste and further controlling the activity level of the active agent.

The amount of active agent retained in the 25 cellulose fibers awaiting release is held in reserve with minimal exposure to the atmosphere. For those active agents that are volatile and produce irritating vapors, retention in the cellulose fibers reduces the rate of volatilization. At the same time, the amounts of active agent held in reserve

are excluded from contact with the contacted surface until their release, thus lessening any high initial effect and preventing undesirable side effects to contacted surfaces.

5 The present specification is directed primarily to compositions containing topically active agents. For example, the sustained release composition can be used topically on skin or hair. However, the active agent can be a different type of 10 compound, such as a fragrance, which is control released to act as a room deodorizer, or a pesticide, which is released in a controlled manner for extended insecticidal or herbicidal activity, or similar types of active agents, like drugs and thera-15 peutic agents, that are used in sustained and controlled release applications. Other sustained release compositions of the present invention can be designed for application to inanimate surfaces. sustained release composition also can have indus-20 trial applications, for example, the controlled release of a catalyst. The sustained release composition of the present invention further can be used in the food industry for the controlled and sustained release of spices, flavors, and food 25 colors.

Therefore, with respect to some active agents, it is desirable to topically deliver the active agents to human skin or hair. In many cases, the active agents can be applied directly to the skin, either in a substantially pure form or in a liquid vehicle. A direct application, however, is

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limited in a number of respects. First, direct application allows rapid evaporation of volatile active agents. Second, application of an active agent in a substantially pure form can cause toxic and/or allergic reactions, particularly in the case of infrared absorbents, insect repellants, and steroids. Finally, many topically applied active agents have undesirable esthetic properties, such as an oily feel or a strong odor. While such disadvantages often can be minimized by dilution of the active agent in a suitable liquid carrier, the decrease in active agent concentration can limit the effectiveness of the resulting product for its intended purpose.

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Because of such disadvantages, it is desirable to provide compositions capable of providing a sustained and controlled delivery of an active agent after it has been applied to the skin or hair. Desirably, such controlled delivery compositions also control odor or toxicity associated with the active agent and should be suitable both for direct application to the skin and for application in combination with conventional carriers.

The present invention provides sustained

25 release compositions incorporating a variety of
active agents, such as ultraviolet absorbants (sunscreens), optical brighteners, insect repellants,
steroids, acne treatments, epidermal lipid replacements, counterirritants, hair growth promoters, and

30 the like. The sustained release compositions can be
used as is, or can be incorporated into a carrier or

vehicle, alone or with other formulation ingredients.

A sustained release composition of the present invention is a dry, free-flowing product that can be rubbed directly on the skin, for exam-5 ple, to provide a controlled release of the active agent over time. More typically, the sustained release composition is formulated with a carrier vehicle and other ingredients. The use of a formulation containing a sustained release composition avoids incompatibilities, chemical or physical, that might otherwise exist between the active agent and a second active ingredient in the formulation, or between the active substance and the carrier or other formulation ingredients. The sustained release compositions also protect inherently unstable active agents from oxidation, chemical degradation, exposure to light, and other physical and chemical instabilities, including premature evaporation.

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20 The controlled release of the active agent achieved by a sustained release composition of the present invention provides a prolonged activity of the active agent, e.g., on the skin, for example. This prolonged activity reduces the need to fre-25 quently reapply the active agent. Additionally, controlled release of the active agent reduces any odor associated with the active agent and reduces the possibility of a toxic or allergic reaction resulting from direct contact of the active agent 30 with the skin.

A controlled release composition of the present invention comprises: (a) cellulose fibers, (b) an active agent, and (c) an optional release retardant. The active agent is incorporated into the cellulose fibers. The optional release retardant is coated on the cellulose fibers containing the active agent.

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As used herein, the term "incorporated" is defined as one or more of adsorbing, absorbing, coating, or impregnating an active agent into or onto the cellulose fibers.

A present controlled release composition is a dry, free-flowing powdery material, and can be formulated into (a) a solid product in the form of a powder, or tablet, for example, (b) a semisolid, like a cream or gel, for example, or (c) a liquid, like an emulsion or dispersion, for example.

More particularly, the present controlled release compositions comprise cellulose fibers having an active agent incorporated therein. The weight amount of active agent applied to the cellulose fibers can equal the weight of the cellulose fibers, i.e., can be up to about 50% by weight of the sustained release composition. The active agent can be water soluble or oil soluble, and can be a solid or a liquid.

An optional release retardant is applied to the cellulose fiber-active agent combination to adsorb onto the cellulose fibers and/or coat the cellulose fibers and active agent. The release retardant can be water soluble or dispersible, i.e.,

is hydrophobic, or oil soluble or dispersible, i.e., is hydrophobic. If the active agent is water soluble, the release retardant preferably is hydrophobic. If the active agent is oil soluble, the release retardant preferably is hydrophilic.

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As used herein, the term "water-soluble compound" is defined as a compound having a solubility in water of at least 0.5 g per 100 grams of water at 25°C. Similarly, "oil-soluble compound" is defined as a compound having a solubility in mineral oil of at least 0.5 g per 100 grams of mineral oil at 25°C. The terms "water dispersible" and "oil dispersible" are defined as compounds having a solubility, at 25°C, in 100 g of water or mineral oil, respectively, of about 0.1 to about 0.5 g.

A sustained release composition of the present invention can be used as is for a time-extended delivery of the active agent. Similarly, composition can be formulated with other ingredients to provide a powder or tablet, a semisolid, or a liquid formulation for a time extended delivery of the active agent. For example, a present sustained release composition can be applied topically, such that the active ingredient, e.g., an antioxidant, is slowly released from the cellulose fibers, over an extended time, to perform its intended function.

Surprisingly, the present sustained release compositions are sufficiently stable, even in liquid formulations, such that the compositions retain their controlled release properties until administered, e.g., applied to the skin. Previously, liquid formulations containing a sustained release composition, like those containing a watersoluble active ingredient, exhibited poor controlled
release properties because water present in a formulation displaced the active agent from the its absorbent substrate, thereby solubilizing or dispersing the active agent. A similar disadvantage is
observed with respect to sustained release compositions containing an oil-soluble active agent, and
formulation of the sustained release composition
into a nonaqueous solvent. In either case, the
active agent becomes available for immediate use,
but is not available for a controlled release from
the absorbent substrate after application of the
sustained release composition.

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The individual components of the present sustained release compositions are discussed in more detail below.

# 1. Cellulose Fibers

20 Cellulose fibers useful in the present invention can be modified or unmodified cellulose fibers. Examples of suitable cellulose fibers include, but are not limited to cotton, Esparto grass, bagasse, kemp, flax, silk, wood pulp, chemically modified wood pulp, jute, rayon, methylcellulose, ethylcellulose, hydroxypropylcellulose, hydroxybutylcellulose, carboxymethylcellulose, sodium carboxymethylcellulose, and cellulose acetate. For food and pharmaceutical applications, pharmaceutical grade cellulose fibers can be used.

The cellulose fibers used in the present invention can be hydrophilic, hydrophobic, or a mixture of both hydrophilic and hydrophobic fibers. As used herein, the term "hydrophilic" describes cellulose fibers, or surfaces of the fibers, that are wettable by aqueous media deposited on the fibers. Hydrophilicity and wettability typically are defined in terms of contact angle and the surface tension of the fluids and solids involved, as discussed in detail in the American Chemical Society 10 publication entitled Contact Angle, Wettability and Adhesion, edited by Robert F. Gould (Copyright 1964). A fiber, or surface of a fiber, is said to be wetted by an aqueous medium (i.e., hydrophilic) 15 when either the contact angle between the medium and the fiber, or its surface, is less than 90°, or when the medium tends to spread spontaneously across the surface of the fiber, both conditions normally coexisting. Conversely, cellulose fibers, or surfaces 20 thereof, are considered to be hydrophobic if the contact angle is greater than 90° and the aqueous medium does not spread spontaneously across the surfaces of the cellulose fibers.

For reasons of availability and cost, wood

25 pulp fibers and cotton fibers are particularly
preferred for use in the present invention. Suitable wood pulp fibers can be obtained from wellknown chemical processes, such as the Kraft and
sulfite processes. It is especially preferred to

30 derive the wood pulp fibers from southern soft woods
due to their excellent absorbency characteristics.

Wood pulp fibers also can be obtained from mechanical processes, such as ground wood, refiner mechanical, thermomechanical, chemi-mechanical, and chemithermomechanical pulp processes. Recycled or secondary wood pulp fibers, as well as bleached and unbleached wood pulp fibers, also can be used.

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Cellulose fibers utilized in a present sustained release composition have a median particle size of about 3 to about 30, and preferably about 5 to about 30, microns. To achieve the full advantage of the present invention, the cellulose fibers have a median particle size of about 5 to about 25 microns. The cellulose fibers typically have a particle size range of about 0.01 to about 200, and more typically about 0.1 to about 100 microns. The cellulose fibers can contain particles less than 0.01 microns and/or more than 200 microns in size. However, the majority of the cellulose fibers typically are in the about 0.01 to about 100 micron particle size range.

The average particle diameter of the cellulose fibers can be measured, for example, by a Sedimentation Micromeritics Microsizer 5300, available from Micromeritics Instrument Company,

Norcross, GA. The particle diameter determination method is described in detail in the "Microsizer 5300 Particle Size Analyzer Instruction Manual" (1984) associated with the instrument. Numerous other methods of determining particle size are known to persons skilled in the art.

A particular cellulose fiber utilized in a present sustained release composition can be selected based on identity of the active ingredient incorporated therein. For example, in some embodiments, it may be preferable to utilize hydrophilic cellulose fibers when the active agent is water soluble or water dispersible. Similarly, it may be preferred to utilize hydrophobic cellulose fiber when the active agent is oil soluble. However, water-soluble active agents can be incorporated onto or into hydrophobic cellulose fibers, and oil-soluble active agents can be incorporated onto hydrophilic cellulose fibers.

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The weight amount of cellulose fibers in a 15 present sustained release composition is about 50 to about 99.9, and preferably about 70 to about 99.8, wt% of the total composition. The weight amount of cellulose fibers present in a sustained release composition of the present invention is related to the 20 identity and amount of active agent in the composi-The amount of a particular active agent required to perform its intended function first is determined, then the amount of cellulose fibers is determined based on considerations such as the identity of the cellulose fibers and active agent, 25 and the ability of the active agent to adsorb, absorb, coat, and impregnate the cellulose fibers. Such a determination is easily performed by persons skilled in the art.

# 2. Activ Agent

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In accordance with an important feature of the present invention, the active agent can be any of a wide variety of compounds, either water soluble or oil soluble. The active agent can be a liquid or a solid compound at room temperature (25°C). Often, the active agent is a topically active compound. The sustained release composition, therefore, can be applied to the skin, and the active agent then performs its intended function as it is released from the sustained release composition over time and contacts the skin.

The active agent incorporated into the cellulose fibers can be in liquid or solid form. The liquid active agents include active agents that are liquid at room temperature, as well as solid active agents dissolved in a suitable solvent.

After application, a liquid active agent diffuses out of the cellulose fibers upon rubbing contact or contact with surfaces or media with which the sustained release composition is placed in contact. An active agent in solid form can be delivered to the contacted area by gradually dissolving into the bodily secretions at the points of exposure or into a surrounding liquid medium, for example. Once dissolved, the solid active agents diffuse in the same manner as those which are liquid at room temperature.

The active agent often is a water-soluble or water-dispersible compound, i.e., is hydrophilic. However, the active agent can be oil soluble or oil

dispersible, i.e., is hydrophobic. In other embodiments, the active agent is a mixture of compounds, either all hydrophilic, all oleophilic, or a mixture of hydrophilic and oleophilic compounds. As discussed hereafter, the optional release retardant also may contribute to the efficacy of the composition.

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The active agent is present in the sustained release composition in an amount sufficient to perform its intended function, typically in an amount of about 0.1% to about 50%, by weight, of the composition, and preferably about 0.2% to about 30%, by weight, of the composition.

A present sustained release composition can be incorporated into liquid, solid, or semisolid formulations that contain the required, or desired, amount of active agent. Persons skilled in the art are aware of the amount of active agent needed to perform its intended function, and are capable of determining the amount active agent to incorporate into a sustained release composition based on the form, e.g., solid, semisolid, or liquid, of the formulation. Alternatively, a sustained release composition of the present invention, can be used as prepared, i.e., is not incorporated into a formulation.

Any liquid or soluble solid active agent, either polar or nonpolar, can be incorporated into the cellulose fibers. Water-soluble active agents, like dyes, ascorbic acid, hyularonic acid, hydrogen peroxide, salts of active agents, proteins, and en-

zymes, or water-insoluble active agents, like retinol, tocopherol, hydroquinone, kojic acid, salicylic acid, alpha and beta hydroxyacids, initiators, oxidants, peroxides, reductants, polymers, adsorbents, fragrances, and vitamins, can be used.

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With respect to topically active agents, such agents are intended to be applied to the skin or hair, and allowed to remain on the skin or hair for an extended time period to allow a controlled release of the active agent to perform its function.

The topically active agent, therefore, can be one of, or a mixture of, a cosmetic compound, a medicinally active compound, or any other compound that is useful upon topical application to the skin or hair. Such topically active agents include, but are not limited to, hair-growth promoters, deodorants, skin-care compounds, antioxidants, insect repellants, counterirritants, vitamins, steroids, retinoids, hair dyes, antibacterial compounds, antifungal compounds, anti-inflammatory compounds, topical anesthetics, sunscreens, optical brighteners, and other cosmetic and medicinal topically effective compounds.

For example, a skin or hair conditioner

25 can be the active agent of a composition of the present invention. Skin conditioning agents include, but are not limited to, humectants, such a fructose, glucose, glycerin, propylene glycol, glycereth-26, mannitol, urea, pyrrolidone carboxylic acid, hydrolyzed lecithin, coco-betaine, cysteine hydrochloride, glucamine, PPG-15, sodium gluconate,

potassium aspartate, oleyl betaine, thiamine hydrochloride, sodium laureth sulfate, sodium hyaluronate, hydrolyzed proteins, hydrolyzed keratin, amino acids, amine oxides, water-soluble derivatives of vitamins A, E, and D, amino-functional silicones, ethoxylated glycerin, alphahydroxy acids and salts thereof, fatty oil derivatives, such as PEG-24 hydrogenated lanolin, almond oil, grape seed oil, and castor oil, and mixtures 10 thereof. Numerous other skin conditioners are listed in the CTFA Cosmetic Ingredient Handbook, First Ed., J. Nikotakis, ed., The Cosmetic, Toiletry and Fragrance Association (1988), (hereafter CTFA Handbook), pages 79-84, incorporated herein by ref-15 erence.

The skin or hair conditioner also can be a water-insoluble ester having at least 10 carbon atoms, and preferably 10 to about 32 carbon atoms. Suitable esters include those comprising an 20 aliphatic alcohol having about eight to about twenty carbon atoms and an aliphatic or aromatic carboxylic acid including from two to about twelve carbon atoms, or conversely, an aliphatic alcohol having two to about twelve carbon atoms with an aliphatic 25 or aromatic carboxylic acid including about eight to about twenty carbon atoms. The ester is either straight-chained or branched. Suitable esters, therefore, include, for example, but are not limited to:

(a) aliphatic monohydric alcohol esters, including, but not limited to: myristyl propionate,
isopropyl isostearate,
isopropyl myristate,
isopropyl palmitate,
cetyl acetate,
cetyl propionate,
cetyl stearate,
isodecyl neopentanoate,
cetyl octanoate,

10 isocetyl stearate;

(b) aliphatic di- and tri-esters of polycarboxylic acid, including, but not limited to:

diisopropyl adipate,

diisostearyl fumarate,

15 dioctyl adipate, and triisostearyl citrate;

(c) aliphatic polyhydric alcohol esters, including, but not limited to:

propylene glycol dipelargonate;

20 (d) aliphatic esters of aromatic acids, including, but not limited to:

 $C_{12}\text{-}C_{15}$  alcohol esters of benzoic acid, octyl salicylate,

sucrose benzoate, and

25 dioctyl phthalate.

Numerous other esters are listed in the CTFA Handbook, at pages 24 through 26, incorporated herein by reference.

In addition, the topically active agent 30 can be a hair dye, such as, but not limited to, maminophenol hydrochloride, p-aminophenol sulfate, 2,3-diaminophenol hydrochloride, 1,5-naphthalenediol, p-phenylenediamine hydrochloride, sodium picramate, cationic dyes, anionic dyes, FD&C dyes, like Blue No. 1, Blue No. 2, Red No. 3, Red No. 4, or Red No. 40, D&C dyes, like Yellow No. 10, Red No. 22, or Red No. 28, and pyrogallol. Numerous other hair dyes are listed in the CTFA Handbook, pages 70-71, incorporated herein by reference.

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The active agent also can be a hydrocarbon, like mineral oil, 1-decene dimer, a polydecene, paraffin, petrolatum, or an isoparaffin, for
example.

An especially useful class of active agents is the silicone oils, like dimethicone, and the functional silicone oils, like dimethicone copolyol. The silicone oils have a viscosity of about 10 centipoise (cps) to about 600,000 cps, and typically about 350 cps to about 10,000 cps, at 25°C. Examples of silicone oils include dimethicone, dimethicone copolyol, dimethiconol, simethicone, phenyl trimethicone, stearoxy dimethicone, trimethylsilylamodinethicone, an alkyl dimethicone copolyol, and a dimethicone having polyoxyethylene and/or polyoxypropylene side chains.

25 The topically active agent also can be an antioxidant, like ascorbic acid or erythorbic acid, or an optical brightener, like a distyrylbiphenyl derivative, stilbene or a stilbene derivative, a pyralozine derivative, or a coumarin derivative. In addition, a self-tanning compound, like dihydroxy

acetone, or a hair growth promoter can be the topically active agent.

Optical brighteners useful as the active agent can be any compound capable of absorbing an invisible UV portion of the daylight spectrum, and converting this energy into the longer visible wavelength portion of the spectrum. The optical brightener is colorless on the substrate, and does not absorb energy in the visible part of the spectrum. The optical brightener typically is a derivative of stilbene or 4,4'-diaminostilbene, biphenyl, a 5-membered heterocycle, e.g., triazole, oxazole, or imidazole, or a 6-membered heterocycle, e.g., a

One class of optical brighteners is the bistriazinyl derivatives of 4,4'-diaminostilbene-2,2'-disulfonic acid, exemplified in Table 1.

coumarin, a naphthalamide, or an s-triazine.

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Table 1			
$\begin{array}{c} R' \\ N \\ N \\ N \end{array}$ $\begin{array}{c} HO_3S \\ CH=CH \\ \end{array}$ $\begin{array}{c} N \\ N \\ N \\ \end{array}$ $\begin{array}{c} R' \\ N \\ N \\ \end{array}$ $\begin{array}{c} R \\ N \\ \end{array}$			
R	R*		
−NHC <sub>6</sub> H <sub>5</sub>	-OCH <sub>3</sub>		
-NHC <sub>6</sub> H <sub>5</sub>	-NHCH <sub>3</sub>		
-NHC <sub>6</sub> H <sub>5</sub>	CH <sub>2</sub> CH <sub>2</sub> OH		
-NHC <sub>6</sub> H <sub>5</sub>	-N(CH <sub>2</sub> CH <sub>2</sub> OH) <sub>2</sub>		

Table 1		
R' HO <sub>3</sub> S NH—NH—NH—NH—N N N N N N N N N N N N N N		
R	R'	
−NHC <sub>6</sub> H <sub>5</sub>	—N_O	
-NHC <sub>6</sub> H <sub>5</sub>	-NHC <sub>6</sub> H <sub>5</sub>	
—NH—SO <sub>3</sub> H	-N(CH₂CH₂OH)₂	
—NH- SO <sub>3</sub> H	-N (CH₂CH₂OH) ₂	
SO <sub>3</sub> H	−N (CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	

Additional classes of optical brighteners are the 2-(stilben-4-yl)naphthotriazoles

$$N$$
 $N$ 
 $CH=CH$ 
 $R$ 

wherein  $R=-SO_3H$ , R'=H, and R=-CN and R'=-

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10 Cl;

the 2-(4-phenylstilben-4-yl)benzoxazoles

$$(H_3C)_3C$$

$$CH=CH$$

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the bis(azol-2-yl)stilbenes

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 the 1,4-bis(styryl)benzenes

$$C \equiv N \qquad N \equiv C$$

$$CH = CH - CH = CH - CH$$

the 4,4'-bis(styryl)biphenyls

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 the 1,3-diphenyl-2-pyrazoline derivatives

 $\label{eq:wherein R is -SO_3H, -SO_2NH_2, -SO_2NHCH_2CH_2-CH_2N^+(CH_3)_3^-SO_3OCH_3, -SO_2CH_2CH_2SO_3H, sodium salt, or $$$ 

$$\begin{array}{c} --\text{SO}_2\text{CH}_2\text{CH}_2\text{O} --\text{CH} --\text{CH}_2 --\text{N}\left(\text{CH}_3\right)_2 \\ \text{CH}_3 \end{array}$$

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the bis(benzooxazol-2-yl) derivatives

R' $R$ $R$ $R$ $R$ $R$		
R	R'	
-CH=CH-	alkyl, 5-CH₃	
CH=CH-CH	H, alkyl	
	H, alkyl	
	COO-alkyl, SO <sub>2</sub> -alkyl, H, alkyl	

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the bis(benzimidazol-2-yl) derivatives

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wherein X= -CH=CH- or

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the 2-(benzofuran-2-yl)benzimidazoles;

10 the coumarins, including 3-phenyl-7-aminocoumarin,
3-phenyl-7-(azol-2-yl) coumarins, 3,7-bis(azolyl)coumarins,

R=R'=H $R=R'=CH_3$  ;

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the carbostyrils

$$C_2H_5$$
 $C_2H_5$ 
 $C_2H_5$ 
 $C_2H_5$ 
 $C_2H_3$ 

;

;

5 the naphthalimides

and miscellaneous compounds and classes such as quaternized pyridotriazoles, a pyrene compound

$$\bigcup_{\text{OCH}_3} \bigvee_{\text{OCH}_3}$$

and the acylamino (R,R') derivative of 3,7-diaminodibenzothiophene-2,8-disulfonic acid-5,5-dioxide, wherein preferred acyl groups are alkoxybenzoyls,

The optical brighteners are available

under a variety of tradenames, such as TINOPAL,
LEUCOPHOR, and CALCOFLUOR. Specific fluorescent
compounds include, but are not limited to, TINOPAL

5BM, CALCOFLUOR CG, and LEUCOPHOR BSB.

The topically active agent also can be a

deodorant or antiperspirant compound, such as an
astringent salt or a bioactive compound. The astringent salts include organic and inorganic salts
of aluminum, zirconium, zinc, and mixtures thereof.
The anion of the astringent salt can be, for example, sulfate, chloride, chlorohydroxide, alum,
formate, lactate, benzyl sulfonate, or phenyl
sulfonate. Exemplary classes of antiperspirant
astringent salts include aluminum halides, aluminum
hydroxyhalides, zirconyl oxyhalides, zirconyl hy20 droxyhalides, and mixtures thereof.

Exemplary aluminum salts include aluminum chloride and the aluminum hydroxyhalides having the general formula Al<sub>2</sub>(OH)<sub>x</sub>Q<sub>y</sub>•XH<sub>2</sub>O, wherein Q is chlorine, bromine, or iodine; x is about 2 to about 5; x+y is about 6, wherein x and y are not necessarily integers; and X is about 1 to about 6. Exemplary zirconium compounds include zirconium oxy salts and zirconium hydroxy salts also referred to as zirconyl salts and zirconyl hydroxy salts, and represented by

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the general empirical formula  $ZrO(OH)_{2-nz}L_z$ , wherein z varies from about 0.9 to about 2 and is not necessarily an integer; n is the valence of L; 2-nz is greater than or equal to 0; and L is selected from the group consisting of halides, nitrate, sulfamate, sulfate, and mixtures thereof.

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Exemplary deodorant compounds, therefore, include, but are not limited to, aluminum bromohydrate, potassium alum, sodium aluminum chlorohydroxy lactate, aluminum sulfate, aluminum chlorohydrate, 10 aluminum-zirconium tetrachlorohydrate, an aluminumzirconium polychlorohydrate complexed with glycine, aluminum-zirconium trichlorohydrate, aluminum-zirconium octachlorohydrate, aluminum sesquichlorohydrate, aluminum sesquichlorohydrex PG, aluminum 15 chlorohydrex PEG, aluminum zirconium octachlorohydrex glycine complex, aluminum zirconium pentachlorohydrex glycine complex, aluminum zirconium tetrachlorohydrex glycine complex, aluminum zir-20 conium trichlorohydrex glycine complex, aluminum chlorohydrex PG, zirconium chlorohydrate, aluminum dichlorohydrate, aluminum dichlorohydrex PEG, aluminum dichlorohydrex PG, aluminum sesquichlorohydrex PG, aluminum chloride, aluminum zirconium 25 pentachlorohydrate, chlorophyllin copper complex, numerous other useful antiperspirant compounds listed in the CTFA Handbook at page 56, incorporated herein by reference, and mixtures thereof. active agent also can be a fragrance that acts as a 30 deodorizer by masking malodors. Numerous fragrance

compounds are listed in the CTFA Handbook, pages 69-70, incorporated herein by reference.

In addition, other compounds can be included as the topically active agent in an amount sufficient to perform their intended function. For example, if the composition is intended to be a sunscreen, then compounds such as benzophenone-3, trihydroxycinnamic acid and salts, tannic acid, uric acids, quinine salts, dihydroxy naphtholic acid, an anthranilate, diethanolamine methoxycinnamate, paminobenzoic acid, phenylbenzimidazole sulfonic acid, PEG-25, paminobenzoic acid, or triethanolamine salicylate can be used as the active agent.

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Further, sunscreen compounds such as di-15 oxybenzone, ethyl 4-[bis(hydroxypropyl)] aminobenzoate, glyceryl aminobenzoate, homosalate, methyl anthranilate, octocrylene, octyl methoxycinnamate, octyl salicylate, oxybenzone, padimate O, red petrolatum, titanium dioxide, 4-menthylbenzylidene 20 camphor, benzophenone-1, benzophenone-2, benzophenone-6, benzophenone-12, isopropyl dibenzoyl methane, butyl methoxydibenzoylmethane, zotocrylene, or zinc oxide can be used as the active agent. Other sunscreen compounds are listed in CTFA Hand-25 book, pages 86 and 87, incorporated herein by reference.

Similarly, topically active drugs, like antifungal compounds, antibacterial compounds, anti-inflammatory compounds, topical anesthetics, skin rash, skin disease, and dermatitis medications, and anti-itch and irritation-reducing compounds can be

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used as the active agent in the compositions of the present invention. For example, analgesics such as benzocaine, dyclonine hydrochloride, aloe vera, and the like; anesthetics such as butamben picrate, lidocaine hydrochloride, xylocaine, and the like; antibacterials and antiseptics, such as povidoneiodine, polymyxin b sulfate-bacitracin, zinc-neomycin sulfate-hydrocortisone, chloramphenicol, ethylbenzethonium chloride, erythromycin, and the like; antiparasitics, such as lindane; essentially all dermatologicals, like acne preparations, such as benzoyl peroxide, erythromycin benzoyl peroxide, clindamycin phosphate, 5,7-dichloro-8-hydroxyguinoline, and the like; anti-inflammatory agents, such as alclometasone dipropionate, betamethasone valerate, and the like; burn relief ointments, such as oamino-p-toluenesulfonamide monoacetate, and the like; depigmenting agents, such as monobenzone; dermatitis relief agents, such as the active steroid amcinonide, diflorasone diacetate, hydrocortisone, and the like; diaper rash relief agents, such as methylbenzethonium chloride, and the like; emollients and moisturizers, such as mineral oil, PEG-4 dilaurate, lanolin oil, petrolatum, mineral wax, and the like; fungicides, such as butocouazole nitrate, haloprogin, clotrimazole, and the like; herpes treatment drugs, such as O-[(2-hydroxymethyl)-methyl]guanine; pruritic medications, such as alclometasone dipropionate, betamethasone valerate, isopropyl myristate MSD, and the like; psoriasis, seborrhea, and scabicide agents, such as anthralin,

methoxsalen, coal tar, and the like; steroids, such as 2-(acetyloxy)-9-fluoro-1',2',3',4'-tetrahydro-11hydroxypregna-1,4-dieno-[16,17-b]naphthalene-3,20dione and 21-chloro-9-fluoro-1',2',3',4'-tetrahydro-5 11b-hydroxypregna-1,4-dieno-[16,17-b]naphthalene-3,20-dione. Any other medication capable of topical administration, like skin bleaching agents, skin protectants, such as allantoin, and antiacne agents, such as salicylic acid, also can be incorporated in 10 a composition of the present invention in an amount sufficient to perform its intended function. topically active compounds are listed in Remington's Pharmaceutical Sciences, 17th Ed., Merck Publishing Co., Easton, PA (1985), pages 773-791 and pages 15 1054-1058 (hereinafter Remington's), incorporated herein by reference.

In the preparation of a sustained release composition of the present invention, the active agent is incorporated into the cellulose fibers. Additional active agents are disclosed in U.S. Patent Nos. 5,145,675 and 5,851,538.

#### 3. Release Retardant

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The control release capabilities of the sustained release composition may be improved by

25 coating an optional release retardant on the cellulose fibers treated with the active agent. To help retard or eliminate premature displacement of the active agent from the cellulose fibers, drug storage, or use, the optional release retardant

30 typically is applied after the cellulose fiber-

active agent combination is prepared. Alternatively, the optional release retardant can be added to cellulose fibers simultaneously with the active agent.

5 The active agent-containing cellulose fibers also can be encapsulated by suspension or coacervation techniques known to persons skilled in the art using crosslinked polymers, like polyvinyl alcohol, acrylates, and methacrylates, or a colloid, like gelatin, agar, gum Arabic, or carboxymethyl-cellulose.

In certain preferred embodiments, the release retardant is hydrophobic when the active agent is water soluble. Conversely, the release retardant preferably is hydrophilic when the active agent is oil soluble. These preferred combinations of active agent and release retardant are not essential to the present invention, because utilizing a hydrophilic release retardant with a water-soluble active agent, or a hydrophobic release retardant with an oil-soluble active agent, also improves the controlled release properties of the sustained release compositions.

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The release retardant is adsorbed onto the

25 cellulose fibers and also coats the cellulose fibers
and the active agent. The release retardant, therefore, helps retard or eliminates a rapid displacement of the active agent from the cellulose fibers
by water or a nonaqueous solvent, thereby leaving

30 the active agent in an "incorporated" form that is
available for controlled release.

The amount of release retardant present in a sustained release composition is 0% to about 10%, and preferably about 0.1% to about 8%, by weight of the composition. To achieve the full advantage of the present invention, the release retardant is present in an amount of 0% to about 5%, by weight of the composition. Above about 10% by weight of the composition, no additional benefits are observed and the amount of release retardant can be sufficiently high to adversely affect controlled release of the active agent.

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The identity of the release retardant is not particularly limited. However, it is preferred that the release retardant is water insoluble, i.e., 15 has a water-solubility of 0.1 g (gram) or less in 100 ml (milliliter) of water at 25°C, when the active agent is water soluble. It is also preferred that the release retardant is oil insoluble, i.e., has an oil-solubility of 0.1 g or less in 100 ml of 20 mineral oil at 25°C, when the active agent is oil soluble. However, release retardants having oil or water solubility up to 20 g in 100 ml of mineral oil or water, respectively, can be used with either water-soluble and oil-soluble active agents.

The release retardant is selected such that it does not adversely affect the active agent, e.g., is nonreactive and noninteractive with the active agent. The release retardant can be a solid at room temperature, i.e., 25°C, or can be a liquid. A liquid release retardant has a low volatility,

30 A liquid release retardant has a low volatility, i.e., has a boiling point of above 150°C at one

atmosphere. In some embodiments, the release retardant can have cosmetic, medicinal, or other useful properties that perform in conjunction with the active agent.

5 Accordingly, one class of useful release retardants is the fatty alcohols, i.e., alcohols having eight through twenty carbon atoms  $(C_8-C_{20})$ . Fatty alcohols ethoxylated with one to three moles of ethylene oxide also are useful hydrophobic com-10 pounds. Examples of fatty alcohols and ethoxylated fatty alcohols include, but are not limited to, behenyl alcohol, caprylic alcohol, cetyl alcohol, cetaryl alcohol, decyl alcohol, lauryl alcohol, isocetyl alcohol, myristyl alcohol, oleyl alcohol, stearyl alcohol, tallow alcohol, steareth-2, ceteth-15 1, cetearth-3, and laureth-2. Sterols, like lanolin alcohol, also can be used as the release retardant. Additional fatty alcohols and sterols are listed in the CTFA Handbook, pages 28 and 45, incorporated 20 herein by reference.

Another useful class of release retardants are the  $C_8$ - $C_{20}$  fatty acids, including, but not limited to, stearic acid, capric acid, behenic acid, caprylic acid, lauric acid, myristic acid, tallow acid, oleic acid, palmitic acid, isostearic acid, and additional fatty acids listed in the CTFA Handbook, pages 27 and 28, incorporated herein by reference.

Fats and oils also are useful release re30 tardants. Examples of fats and oils include, but
are not limited to, lanolin oil, linseed oil, coco-

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nut oil, olive oil, menhaden oil, castor oil, soybean oil, tall oil, rapeseed oil, palm oil, and neatsfoot oil. Glyceryl esters of fatty acids also can be used as the release retardant, as can lanolin derivatives, such as hydrogenated lanolin, oleyl lanolate, lanolinamide DEA, and similar lanolin derivatives. Similarly, essential oils, like eucalyptus oil, peppermint oil, rose oil, clove oil, lemon oil, pine oil, and orange oil, can be used as 10 the release retardant. Such essential oils also can serve as a fragrance. Additional fats, oils, and essential oils are listed in the CTFA Handbook, pages 23, 26, and 27, incorporated herein by reference.

15 Other classes of useful release retardants include poly(acids), like poly(lactic acid); polymeric ethers, both homo and block copolymers, like poly(ethylene oxide-b-propylene oxide); polyols, like sorbitol, ascorbic acid, and mannitol; salts of  $C_8\text{-}C_{20}$  fatty acids, e.g., sodium, potassium, alum-20 inum, calcium, and magnesium salts of fatty acids; alkanolamides; and synthetic polymers, like a urea/formaldehyde resin, a polyethyleneimine, a polyacrylamide, a polyacrylic acid and salts thereof, polyvinylpyrrolidone and copolymers thereof, a poly-25 isoprene, or a polystyrene, for example. Additional polymeric ethers, alkanolamides, and synthetic polymers are listed in the CTFA Handbook, pp. 3, 4, 38, 39, 47, and 48, incorporated herein by reference.

The release retardant also can be a biological polymer, a gum, a salt or derivative of a gum, or a carbohydrate. Examples of such release retardants include, but are not limited to, hyaluronic acid, potato starch, corn starch, rice starch, sodium hyaluronate, locust bean gum,

- tragacanth gum, xanthan gum, methylcellulose, hydroxyethylcellulose, karaya gum, carboxymethylcellulose, sucrose, sucrose laurate, dextrin, corn syrup, pectin, methyl gluceth-10, gelatin, algin, carrageenan, and mixtures thereof. Additional
- biological polymers, carbohydrates, gum, and salts and derivatives of gums are listed in the CTFA Handbook, at pp. 16, 19, 29, and 30, incorporated herein by reference.

Another class of release retardants is the sorbitan derivatives, like PEG-10 sorbitan laurate, PEG-20 sorbitan isostearate, PEG-3 sorbitan oleate, polysorbate 40, sorbitan stearate, and sorbitan palmitate, for example. Other sorbitan derivatives are listed in the CTFA Handbook, at page 44, incorporated herein by reference.

Another class of release retardants is the waxes, like mink wax, montan wax, carnauba wax, and candelilla wax, for example, and synthetic waxes, like silicone waxes, polyethylene, and polypropylene. Additional waxes are listed in the CTFA Handbook, pages 31 and 49, incorporated herein by reference.

## 4. Optional Ingredients

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The sustained release compositions of the present invention are dry, powdery compositions. A

present sustained release composition can be used as prepared, or can be incorporated into liquid, solid, or semisolid formulations. These formulations can be water based or oil based, and can be particulates, dispersions, emulsions, gels, or other physical forms known to persons skilled in the art.

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These formulations can include optional ingredients traditionally included in cosmetic, medicinal, and other such compositions. These optional ingredients include, but are not limited to, dyes, fragrances, preservatives, antioxidants, detackifying agents, and similar types of compounds. The optional ingredients are included in the composition in an amount sufficient to perform their intended function.

Regardless of the particular cellulose fibers used, treatment of the fibers with the active agent is readily accomplished by contact. active agent can be dissolved in a solvent to form a solution which, in addition to facilitating incorporation, can be used to control the amount of active agents incorporated, control viscosity, and control any other parameters that can affect the quality and ease of incorporation. Examples of such solvents are liquid petrolatum, polysorbate ether, petroleum ether, alcohols (e.g., methanol, ethanol, propylene glycol, and higher alcohols), aromatics (e.g., benzene and toluene), alkanes (e.g., pentane, hexane, and heptane), ketones (e.g., acetone and methyl ethyl ketone), chlorinated hydrocarbons (e.g., chloroform, carbon tetrachloride, methylene chloride, and ethylene dichloride), and oils (e.g., isopropyl myristate, diisopropyl adipate, mineral oil, and silicone oils). After absorption of the solution, the solvent can be evaporated, or, if desired, can be retained with the active agent.

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The active agent-containing cellulose fibers can be used as is, or can be incorporated into fluid or solid of the type commonly used for skin treatment, for example. These formulations include gels, creams, lotions, ointments, sprays, powders, oils, and sticks. Aqueous fluid compositions such as oil-in-water and water-in-oil emulsions, gels, creams, lotions, ointments, and sprays, where the sustained release compositions are dispersed in an aqueous medium, are preferred. Regardless of the formulation, however, the medium in which the sustained release compositions are dispersed can contain additional ingredients for any of a variety of cosmetic, therapeutic or preventive effects.

When sustained release compositions are suspended in aqueous or nonaqueous media, the concentration of the sustained release formulation relative to the entire formulation is sufficient for the active agent to perform its intended function, and typically is about 0.01% to about 50%, by weight, of the formulation.

The following examples illustrate sustained release compositions of the present invention.

# Example 1

Adsorption capacity is the maximum weight percent of a liquid added to an adsorptive substrate (powder) until a very stiff, putty-like paste is produced. The adsorption capacity was determined by ASTM Method D 281-31, and the method disclosed in U.S. Patent No. 4,962,170, incorporated herein by reference. In particular, the adsorption capacity is calculated from the weight difference of the powder containing the liquid and the dry powder according to the equation:

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Adsorption Capacity (%) =

(wt. powder + liquid) - (initial wt. powder) x 100

(wt. powder + liquid)
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Adsorption capacity determination:				
	Water (wt%)	Mineral Oil (wt%)		
Cotton Fibers	80.1	70.6		
Wood Fibers	78.3	66.7		

# Example 2

Wood fibers of median particle size 20
20 microns were loaded with an isopropanol/marigold extract solution in an amount of 2 grams of solution per gram of wood fibers, then dried in a vacuum oven at 40°C to evaporate the isopropanol. The resulting dry sustained release composition was an orange,

25 fine powder, containing 20 wt% entrapped marigold extract, i.e., 0.25 grams of marigold extract per

gram of wood fibers. Marigold extract is a vitamin supplement and contains 30% of lutein which is a sensitive carotenoid. The entrapped marigold extract delivered as free-flowing powder was stabilized against oxidation and degradation by light.

## Example 3

Example 2 was repeated, except that cotton fibers of median particle size 5 microns were used. The same results were obtained.

### 10 Example 4

A solution was prepared by dissolving 1 gram of urea peroxide in 1.5 grams of a water/acetone mixture. The resulting solution was adsorbed on 1 gram of wood fibers of median particle 15 size 10 microns. The water/acetone mixture was removed, and the resulting sustained release composition was pulverized to very fine powder. Usually, urea peroxide is very unstable, shock sensitive, and degrades very quickly on contact with air humidity. 20 The urea peroxide entrapped in the sustained release composition was stabilized and resisted degradation. The loading capacity of urea peroxide was 50 wt%, i.e., 1 gram per gram of wood fibers.

#### Example 5

The wood fibers of median particle size 20 microns were loaded with a methanol/salicylic acid solution to a content 3.2 grams of solution per gram

of wood fibers. The resulting product was dried in an oven at 55°C to evaporate the methanol. The dry, solid sustained release composition was a white, fine powder containing 20 wt% entrapped salicylic acid, i.e., 0.25 grams salicylic acid per gram of wood fibers.

# Example 6

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Similar to Example 5, salicylic acid was entrapped in cotton fibers of median particle size 5 microns. The same results were obtained.

# Example 7

A solution was prepared by dissolving 1 gram of LEUCOPHOR BSB (commercially available from Clariant Co.) fluorescent brightener in 19 grams of a 1:2 water/isopropyl alcohol solution. The resulting solution was adsorbed on 100 grams of wood fibers of median particle size 20 microns. Then, the water/alcohol mixture was evaporated, and the resulting sustained release composition was pulverized. The sustained release composition can be used in cosmetic compositions to reduce the appearance of skin imperfections. Analysis showed that the wood fibers contained 0.2%, by weight, of the fluorescent brightener.

## Example 8

The procedure of Example 7 was repeated, except that cotton fibers of median particle size 5 microns were used. The same results were obtained.

# 5 Example 9

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VENUCEANE®, a natural antioxidant containing thermus ferment and glycerin, commercially available from Sederma (50 g), was entrapped in the wood fibers of median particle size 20 microns. A free-flowing powder was obtained. The sustained release composition can be used in cosmetic formulations for a sustained delivery of the natural antioxidant.

# Example 10

- The procedure of Example 9 was repeated, except cotton fibers of median particle size 5 microns were used instead of the wood fibers. The same outcome was achieved.
- The sustained release compositions of the present invention are useful in the food, agricultural, personal care, cosmetic, manufacturing, and pharmaceutical industries. The present sustained release compositions provide a controlled release of topically active agents, flavorants, fragrances, pesticides, agricultural adjuvants, skin and hair color agents, catalysts, and similar active agents.

Obviously, many modifications and variations of the invention as hereinbefore set forth can be made without departing from the spirit and scope thereof and, therefore, only such limitations should be imposed as are indicated by the appended claims.